14.35: What is Plan S?
   Arjan Schalken, deputy director Library VU

14.50: The perspective of stakeholders (5 minute pitch)
   Vinod Subramaniam, Rector magnificus VU
   Hans Berends, Professor of Innovation and Organization, School of Business and Economics, VU
   Hans de Jonge, Advisor Open Science NWO

15.05: Reflections (share viewpoints with others)

15.15: Feedback and discussion

15.45: Wrap up
MANY TYPES OF OPEN ACCESS

Gold: Open Access journal/platform

Hybrid: Subscription Journal

Green: Institutional Repository

Preprint: Preprint Archive
OA CHARACTERISTICS

- Embargo
- Costs
- Version
- Licence
DIFFERENT POLICIES

- OC&W
- Funders
- EU
- Universities
VU deals (9000 hybrid journals)

Additional VU deals (Frontiers, BMC, BMJ, etc)

VU repository

Support in setting up an OA journal
PLAN S MAIN CHARACTERISTICS (1)

- A plan by research funding organizations to speed up the transition to 100% open access

- Main goal: all articles immediately OA, with open license and copyright retention

- Means: require publication in venues/journals that are fully OA or that allow immediate self-archiving in repositories
• Exceptions:
  o policy not yet applicable to chapters and book
  o hybrid in transformative deals is allowed until 2025

• Funders pay for APC when journal is compliant

• Intention of funders to adapt evaluation criteria, moving away from journal-based metrics
GROWING NUMBER OF PARTICIPANTS

cOAlition S funders

China backs bold plan to tear down journal paywalls

Officials pledge support for European-led ‘Plan S’ to make research papers immediately free to but it’s unclear whether China will adopt all the plan’s policies.
WORKING TOWARDS PLAN S COMPLIANCE

- Existing compliant OA journals / platforms
- Flipping existing journals / platforms (by publishers and editorial boards)
- Creating new journals / platforms (by publishers or editorial boards)
- Hybrid journal in transformative deal (temporary route)
- CC-BY OA in hybrid journal & self-archiving the published paper
- Archiving publisher or accepted manuscript (AAM) version upon publication, CC-BY
CURRENT SITUATION

• +/- 20% output Dutch universities is related to funding of cOAlition S partners NWO, ZonMW. 10% to ERC (current status: supporter Plan S)

• Only small part of journals is Plan S compliant

see also: https://101innovations.wordpress.com/2018/12/05/oa-potential-journals-and-publications-across-disciplines/
It is up to individual funders to start the policy with either new calls, new grants or also ongoing grants.
RECEPTION OF PLAN S

- Growing number of participating funders

- Support for Plan S ambitions in- and outside Europe (f.e. EU, LERU, VSNU, OA publishers)

- Criticism (f.e. Germany, Belgium, learned societies, traditional publishers)

- Very mixed reception from researchers
FREQUENTLY MENTIONED ISSUES IN DISCUSSIONS (1)

- Risk of limiting choice of journals and licences
- Conflicts with current focus on impact factors for (early) career development
- Expected problems in collaboration with non-cOAlition S funded researchers
FREQUENTLY MENTIONED ISSUES IN DISCUSSIONS (2)

- Draining income for societies

- Technical requirements (XML, JATS, APIs) for repositories are too strict

- Making big deal negotiations even more complex
UNCERTAINTIES

- Will more funders and/or governments join (worldwide)?

- Will publishers adapt the plan by themselves and if not, what is the role of funders & universities?

- Will funders and institutions use new evaluation criteria and how fast will other incentives change behavior of researchers?

- Is the Green OA route realistic?
WAYS TO PROVIDE FEEDBACK

• To cOAlition S: until February 8th 2019, via https://www.coalition-s.org/feedback/

• Meeting NWO en ZonMW on Januari 31st 2019

• Via Societies, Journals, Editorial Boards?
PROGRAM: THE PERSPECTIVE OF STAKEHOLDERS (5 MINUTE PITCH)

Vinod Subramaniam
Rector magnificus, Vrije Universiteit

Hans Berends
Professor of Innovation and Organization, School of Business and Economics

Hans de Jonge
Advisor Open Science, NWO
Share your viewpoints with others:

- What do I think of the overall goals and approach of Plan S
- How does it affect me?
- What would I like to see changed and what should be kept after the consultation round?
- ........
• Feedback and discussion (30 minutes)
• Wrap up
MORE INFO

• coalition-s.org/

• ub.vu.nl/Openaccess

• journalpublishingguide.vu.nl

• openaccess.ub@vu.nl (helpdesk)

• openaccess.nl

• tinyurl.com/plansuu (Plan S meeting UU presentation including additional statistics)